

In re application of:

Examiner:

JACKSON, G.

BERNARD H. ANDREAS et al.

Art Unit:

3731

Application No.: 08/883,246

Filed: June 26, 1997

.

DEVICE AND METHOD FOR

SUTURING TISSUE

PETITION UNDER 37 CFR §1.48 TO

CHANGE INVENTORSHIP

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

For:

We, ENRIQUE J. KLEIN, T. DANIEL GROSS, and TOMOAKI HINOHARA, declare as follows.

We petition to be included as inventors on the above-referenced patent application. Our omission as inventors on the application as originally filed was made without deceptive intention on our parts.

We further declare that all statements made herein of our knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date:	
	Enrique J. Klein
Date: 11 APRIL 2001	Dal Son
	T. Daniel Gross
Date: 13 April 2001	-TX
PA 3035539 v1	Tomoaki Hinohara

In re application of:	Examiner:	JACKSON, G.
BERNARD H. ANDREAS et al.	Art Unit:	3731
Application No.: 08/883,246		
Filed: June 26, 1997		
For: DEVICE AND METHOD FOR SUTURING TISSUE		NDER 37 CFR §1.48 TO VENTORSHIP
Assistant Commissioner for Patents Washington, D.C. 20231		
Sir: We, ENRIQUE J. KLEIN, 7	T. DANIEL GR	OSS, and TOMOAKI HINOHARA,
declare as follows.		
We petition to be included as	inventors on the	above-referenced patent application.
Our omission as inventors on the application	as originally file	ed was made without deceptive
intention on our parts.		
We further declare that all sta	tements made he	erein of our knowledge are true and
that all statements made on information and b	elief are believe	ed to be true; and further that these
statements were made with knowledge that w	illful false state	ments and the like so made are
punishable by fine or imprisonment, or both,	under Section 10	001 of Title 18 of the United States
Code and that such willful false statements m	ay jeopardize th	e validity of the application or any
patent issuing thereon.		
Date: April 6, 2001	Forigue I	signe Tilley
Date:	Enrique J	
Date:	T. Daniel	
PA 3035539 v1	Tomoaki :	Hinohara .

		•
In re application of:	Examiner:	JACKSON, G.
BERNARD H. ANDREAS et al.	Art Unit:	3731
Application No.: 08/883,246		
Filed: June 26, 1997		
For: DEVICE AND METHOD FOR SUTURING TISSUE	CONSENT O	F ASSIGNEE
Assistant Commissioner for Patents	•	

Washington, D.C. 20231

Sir:

The undersigned, on behalf of the Assignee of the entire interest in the abovereferenced patent application, hereby consents to the addition of ENRIQUE J. KLEIN, T. DANIEL GROSS, and TOMOAKI HINOHARA, as inventors on the above-referenced patent application.

Date: 8/8/51	PERCLOSE, INC. Signature: 2 ale W Say
<u> </u>	Print Name TONALD W. SONTER
	Title: VP PD

DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole
inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject
matter which is claimed and for which a patent is sought on the invention entitled: DEVICE AND METHOD FOR SUTURING
TISSUE the specification of which is attached hereto or X was filed on June 26, 1997 as Application No. 08/883,246 and was
amended on (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status
07/989,611	12/10/1992	Issued as 5,417,699
08/252,124	06/01/1994	Issued as 5,613,974
08/259,410	06/14/1994	Issued as 5,779,719
08/824,031	03/26, 1997	Allowed

Full Name of Inventor 1:	Last Name: ANDREAS	First Name: BERNARD	Middle Name or I	Middle Name or Initial: H.		
Residence & Citizenship:	City: Redwood City	State/Foreign Country: California		Country of Citizenship: United States		
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Attorney Docket No. 033297-016

Full Name of Inventor 2:	Last Name: BARRETT	First Name: MICHAEL	Middle Name or Initial:	
Residence &	City:	State/Foreign Country:	Country of Citizens	thin:
Citizenship:	Campbell	California	United States	шр.
Post Office	Post Office Address:	City:	State/Country:	Postal Code:
Address:	677 Kenneth Avenue	Campbell	California	95008
Full Name of	Last Name:	First Name:	Middle Name or In	itial:
Inventor 3:	FOLEY	MARK	J.	
Residence &	City:	State/Foreign Country:	Country of Citizens	ship:
Citizenship:	Menlo Park	California	United States	- -
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Address:	1151 Hobart Street	Menlo Park	California	94304
Full Name of	Last Name:	First Name:	Middle Name or In	itial:
Inventor 4:	GORE	BRIAN	1	•
Residence &	City:	State/Foreign Country:	Country of Citizens	hip:
Citizenship:	Orlando	Florida	United States	
Post Office	Post Office Address:	City:	State/Country:	Postal Code:
Address:	6555 Whirlaway Circle	Orlando	Florida	32818
Full Name of	Last Name:	First Name:	Middle Name or In	
Inventor 5:	ISBELL	LEWIS	Transit Traine Of In	
Residence &	City:	State/Foreign Country:	Country of Citizens	ship:
Citizenship:	Union City	California	United States	
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Full Name of	Last Name:	First Name:	Middle Name or In	1
Inventor 6:	SONGER	RONALD	w.	
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Citizenship:	San Jose	California	United States	p.
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Address:	3536 Pleasant Crest Drive	San Jose	California	95148
Full Name of	Last Name:	First Name:	Middle Name or In	itial:
Inventor 7:	VETTER	JAMES	w.	
Residence &	City:	State/Foreign Country:	Country of Citizens	ship:
Citizenship:	Portola Valley	California	United States	
Post Office	Post Office Address:	City:	State/Country:	Postal Code:
Address:	275 Willowbrook	Portola Valley	California	94028
Full Name of	Last Name:	First Name:	Middle Name or In	itial:
Inventor 8:	KLEIN	ENRIQUE	J.	
Residence &	City:	State/Foreign Country:	Country of Citizens	hip:
Citizenship:	Los Altos	California	United States	
Post Office	Post Office Address:	City:	State/Country:	Postal Code:
	1686 Christina Drive	Los Altos	California .	94024
A datess.				
	 		Middle Name or In	itial:
Full Name of	Last Name:	First Name:	Middle Name or In	itial:
Full Name of Inventor 9:	Last Name: GROSS	First Name: T. DANIEL		
Full Name of Inventor 9: Residence &	Last Name: GROSS City:	First Name:	Middle Name or In Country of Citizens United States	
Full Name of Inventor 9: Residence & Citizenship:	Last Name: GROSS City: Los Gatos	First Name: T. DANIEL State/Foreign Country: California	Country of Citizens United States	
Full Name of Inventor 9: Residence & Citizenship: Post Office	Last Name: GROSS City: Los Gatos Post Office Address:	First Name: T. DANIEL State/Foreign Country: California City:	Country of Citizens United States State/Country:	hip:
Full Name of Inventor 9: Residence & Citizenship: Post Office Address:	Last Name: GROSS City: Los Gatos Post Office Address: 200 Kimble Avenue	First Name: T. DANIEL State/Foreign Country: California City: Los Gatos	Country of Citizens United States State/Country: California	Postal Code: 95032
Full Name of Inventor 9: Residence & Citizenship: Post Office Address: Full Name of	Last Name: GROSS City: Los Gatos Post Office Address: 200 Kimble Avenue Last Name:	First Name: T. DANIEL State/Foreign Country: California City: Los Gatos First Name:	Country of Citizens United States State/Country:	Postal Code: 95032
Full Name of Inventor 9: Residence & Citizenship: Post Office Address: Full Name of Inventor 2:	Last Name: GROSS City: Los Gatos Post Office Address: 200 Kimble Avenue Last Name: HINOHARA	First Name: T. DANIEL State/Foreign Country: California City: Los Gatos First Name: TOMOAKI	Country of Citizens United States State/Country: California Middle Name or In	Postal Code: 95032
Full Name of Inventor 9: Residence & Citizenship: Post Office Address: Full Name of Inventor 2: Residence &	Last Name: GROSS City: Los Gatos Post Office Address: 200 Kimble Avenue Last Name: HINOHARA City:	First Name: T. DANIEL State/Foreign Country: California City: Los Gatos First Name: TOMOAKI State/Foreign Country:	Country of Citizens United States State/Country: California Middle Name or Inc. Country of Citizens	Postal Code: 95032
Address: Full Name of Inventor 9: Residence & Citizenship: Post Office Address: Full Name of Inventor 2: Residence & Citizenship: Post Office	Last Name: GROSS City: Los Gatos Post Office Address: 200 Kimble Avenue Last Name: HINOHARA	First Name: T. DANIEL State/Foreign Country: California City: Los Gatos First Name: TOMOAKI	Country of Citizens United States State/Country: California Middle Name or In	Postal Code: 95032

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
He Mal	Michael Breth	
BERNARD H. ANDREAS	MICHAEL BARRETT	MARK J. FOLEY
Date 3/2/00	Date	Date
Signature of Inventor 4	Signature of Inventor 5	Signature of Inventor 6
	Have Stell	Randol W Sarger
BRIAN GORE	LEWIS ISBELL	RONALD W. SONGER
Date	Date 3-2-00	Date 3/2/00
Signature of Inventor 7	Signature of Inventor 8	Signature of Inventor 9
		Daul Gra
JAMES W. VETTER	ENRIQUE J. KLEIN	T. DANIEL GROSS
Date	Date	Date 2 MAR OD
Signature of Inventor 10		
TOMOAKI HINOHARA		•
Date		

PA 3037970 v1

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
		march Toler,
BERNARD H. ANDREAS	MICHAEL BARRETT	MARK J. JOLEY
Date	Date	Date 4/20/01
Signature of Inventor 4	Signature of Inventor 5	Signature of Inventor 6
BRIAN GORE	LEWIS ISBELL	RONALD W. SONGER
Date	Date	Date
Signature of Inventor 7	Signature of Inventor 8	Signature of Inventor 9
JAMES W. VETTER	ENRIQUE J. KLEIN	T. DANIEL GROSS
Date .	Date	Date
Signature of Inventor 10		
•		:
TOMOAKI HINOHARA	_	
Date		

PA 3037970 v1

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SUPPLEMENTAL DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

Attorney Docket Number	033297-016				
First Named Inventor	BERNARD ANDREAS et al.				
COMPLETE IF KNOWN					
Application Number	08/883,246				
Filing Date	June 26, 1997				
Group Art Unit	3731				
Examiner Name	JACKSON, G.				

As a below named inventor, i	hereby declar	e that:						*
-	•				:	•		•
My residence, mailing address,	and citizensnip	are as s	itated below next	to my name	•			
t believe I am the original, first a listed below) of the subject matter								ral names are
	D	EVICE A	ND METHOD FO	R SUTURIN	G TIS	SUE	•	
•			•					
the specification of which			(Title of the Inv	rention) :			·	
☑ is attached hereto.								
OR		•	•	•			•	• .
was filed on (MM/DD/YY)	m [;	as United Sta	ates Ar	nolication Number	er or PCT Interna	itional
	·'·					, pii 35 ii 3 ii 3 ii 3 ii 3 ii 3 ii 3 ii		
Application Number		and w	as amended on (MM/DD/YYY	(Y)		(if ap	plicable).
I hereby declare that the subject			attached amend			ment filed on		
was part of my or our invention	and was invent	ed before	e the filing date o	f the original	applica	ation, above ide	ntified for such in	vention.
I hereby state that I have review any amendment specifically refe			contents of the a	bove identifie	ed spec	cification, includi	ng the claims, as	amended by
I acknowledge the duty to disclo applications, material informatio international filing date of the co	n which becam	ie availat	ole between the fi					
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.						, listed below		
Prior Foreign Application	C1		Foreign Fili			Priority	,	y Attached?
Number(s)	Count	<u> </u>	(MM/DD	/11)	IN.	ot Claimed	YES	<u> </u>
	•			ĺ				
Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:								
I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.								
Application Number	(s)	F	iling Date (MM/	DD/YYYY)				
07/989,611			12/10/19	92			nal provisional ap	•
08/252,124	,		06/01/199	94			's are listed on a data sheet PTO/	
08/259,410			06/14/199				d hereto	30,020 (3
08/824,031								

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet Page 4 of 5

Name of Additional Joint Invent	or, if any:		A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any]) Family Name or Surname				· ·		
		T				
RONALD W.			SONGER			
Inventor's	•	٠				
Signature			· · · · · · · · · · · · · · · · · · ·		Date	
Residence: City San Jose	CA State		Country		US Citizenship	
Mailing Address 3536 Pleasant Cre	est Drive	•	·			
Mailing Address			·			
City San Jose	CA State		95148 ZIP	Cou	USA untry	
Name of Additional Joint Invent	or, if any:		A petition has been	filed 1	for this unsigned inventor	
Given Name (first and middle [if any])			Family Name or Surname			
		1		•		
JAMES W.	•		VETTER			
Inventor's Signature					Date	
Residence: City Portola Valley	CA State		USA Country		US Citizenship	
Mailing Address 275 Willowbrook						
Mailing Address						
City Portola Valley	CA State		94028 ZIP	Cot	USA .	
Name of Additional Joint Invent	or, if any:		A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])		Т	Family Name or Surname			
		Т				
ENRIQUE J.	····	زل	KLEIN			
Inventor's Eurogue	$_{2}$ \mathcal{J}_{\cdot} \mathcal{K}	Ł	leu		April 6, 2001	
Residence: City Los ALtes	CA State		USA Country		/ US Citizenship	
Mailing Address 1686 Christina Driv	/e					
Mailing Address						
City Los Aitos	CA State		94024 ZIP	Cor	USA	

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Approved for use through 10/31/2002. OMB 0651-0032

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SUPPLEMENTAL DECLARATION – UTILITY OR DESIGN PATENT APPLICATION

Direct all correspondence to: Customer Number or Bar Code Label				20350 OR		☐ Correspondence address below		
Name								
Address			-					
Address								
City	City State ZIP							
Country		Telephone				Fax_		
I hereby declare that all statement believed to be true; and further the punishable by fine or imprisonmer application or any patent issued the	at these sta it, or both,	tements were made	with the	knowledge that w	illful false state	ements and the like so made are		
NAME OF SOLE OR FIRST	INVENTO	OR:	[A petition has	been filed fo	or this unsigned inventor		
Given Family Name Name BERNARD A: or Surname ANDREAS								
Inventor's Signature	_/	H. Co	/_	·		Date 4/12/0/		
Residence: City		State	C	Country		Citizenship		
Redwood City		CA	ι	SA		us		
Mailing Address 3310	68 Lake S	uperior Place			<u> </u>			
Mailing Address			•		_			
City	State		ZIP		Country			
Redwood City	CA	.	9406	3 ·	USA			
NAME OF SECOND INVENT	OR:			A petition has b	een filed for	this unsigned inventor		
Given Name MICHAEL				mily Name Surname BAF	RETT			
Inventor's Michigan	re 1 %	Buth				Date / / 2001		
Residence: City		State	C	ountry		Citizenship		
Campbell		CA	U	USA		us		
Mailing Address 677	Kenneth	Avenue				,		
Mailing Address	,							
City	State		ZIP		Country			
Campbell	CA		9500	95008 USA				
Additional inventors are being named on the attached supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.								

Please type a plus sign (+) inside this box	→	+	
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet Page 3 of 5

Name of Additional Joint Invent	tor, if any:	A petition has been	filed for this unsigned inventor		
Given Name (first and middle [if any])		Family Name or Sumame			
MARK J.		FOLEY			
Inventor's Signature			Date		
Residence: City Menlo Park	CA State	Country	US Citizenship		
Mailing Address 1151 Hobart Stree	et .				
Mailing Address					
City Menlo Park	CA State	94304 ZIP	USA Country		
Name of Additional Joint Invent	or, if any:	A petition has been	filed for this unsigned inventor		
Given Name (first and middle [if any])		Family Name or Surname			
BRIAN	· ·	GORE	·		
Inventor's Signature			Date		
Residence: City Orlando	FL State	USA	US Citizenship		
Mailing Address 6555 Whirlaway C	ircle	·			
Mailing Address					
City Orlando	FL State	32818 USA Country			
Name of Additional Joint Invento	or, if any:	A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])	,	Family Name or Surname			
LEWIS		ISBELL			
Inventor's Signature Saus let	rel		Date 4-16-01		
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Mailing Address					
City Union City	CA State	94587 ZIP	USA Country		

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet Page 4 of 5

Name of Additional Joint Invent	or, if any:		☐ A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])			Family Name or Surname			
RONALD W.	·		SONGER		· · · · · · · · · · · · · · · · · · ·	
Inventor's Revolu). Sar	g	ι .		Date 4-1/-0/	
Residence: City San Jose	State CA	<u>U</u>	Country		US Citizenship	
Malling Address 3536 Pleasant Cre	est Drive					
Mailing Address	·			,	• • • • • • • • • • • • • • • • • • • •	
City San Jose	CA State	•	95148 ZIP	Co	USA untry	
Name of Additional Joint Invent	or, if any:		A petition has been	filed	for this unsigned inventor	
Given Name (first and middle [if any])			Family Name or Surname			
JAMES W.	• •		VETTER			
Inventor's Signature					Date 4/20/01	
Residence: City Portola Valley	CA State		USA Country	US Citizenship		
Mailing Address 275 Willowbrook						
Mailing Address			·			
City Portola Valley	CA State	•	94028 ZIP	Cou	USA untry	
Name of Additional Joint Invent	or, if any:		☐ A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])			Family Name or Surname			
ENRIQUE J.			KLEIN			
Inventor's Signature		•			Date	
Residence: City Los ALtos .	CA State		USA		US Citizenship	
Mailing Address 1686 Christina Driv	/e					
Mailing Address					·	
City Los Altos	CA State		94024 ZIP	Cor	USA ,	

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PA 3136323 v1

Please type a plus sign (+) Inside this box	· >	+	
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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet Page <u>5</u> of <u>5</u>

Name of Additional Joint Invent	or, if any:	A petition has been filed for this unsigned inventor				
Given Name (first and middle [if any])		Family Name or Surname	Family Name or Surname			
T. DANIEL	·	GROSS				
Inventor's Signature	bron		Date 11 APRIL 2001			
Residence: City Los Gatos	CA . State	USA	US Citizenship			
Mailing Address 200 Kimble Avenu	е					
Mailing Address						
City Los Gatos	CA State	95032 ZIP	USA Country			
Name of Additional Joint Invent	or, if any:	A petition has been	filed for this unsigned inventor			
Given Name (first and middle [if any])		Family Name or Surname	-			
TOMOAKI		HINOHARA				
Inventor's Signature	dun/		13 April 200/			
Residence: City Portola Valley	CA State	Country	Citizenship			
Mailing Address 40 Los Charros						
Mailing Address						
City Portola Valley	CA State	94028 ZIP	USA Country			
Name of Additional Joint Invent	or, if any:	A petition has been filed for this unsigned inventor				
Given Name (first and middle [if any])		Family Name or Surname				
	-					
Inventor's Signature			Date			
Residence: City	State	Country	Citizenship			
Mailing Address						
Mailing Address						
City	State	ZIP .	Country			

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3136326 v1

In re application of:

Examiner:

JACKSON, G.

BERNARD ANDREAS et al.

Art Unit:

3731

Application No.: 08/883,246

•

3/31

Filed: June 26, 1997

DEVICE AND METHOD FOR SUTURING TISSUE

PETITION UNDER 37 CFR §1.47.

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

For:

The above-referenced patent application claims priority back to Application No. 07/989,611, filed on December 10, 1992. As is described in the Amendment mailed on April 23, 2001, Applicants are filing a separate Petition to Change Inventorship under 37 CFR §1.48 since it has become apparent that all inventors named on the original 1992 filing should be named as inventors herein. Additionally, because of the amendments made to the claims (as submitted in the amendment mailed April 23, 2001), Applicants wish to submit their Supplemental Declaration supporting such new claims.

As explained in the accompanying Declaration of Daniel J. Hulseberg, one of the inventors needed to sign the Supplemental Declaration cannot be located or is being uncooperative. A copy of the application, Supplemental Declaration, and all other papers necessary for that inventor (Bryan Gore) to execute the Supplement Declaration were sent to Mr. Gore. Mr. Gore has not responded and it appears that Mr. Gore will not respond.

Certain of the cooperating inventors and representatives of their Assignee have made diligent efforts to obtain the signature of Mr. Gore. Proof of the diligent efforts, including documentary evidence of the attempts to reach Mr. Gore, is presented in the form of Declaration of Daniel J. Hulseberg accompanying this Petition.

BERNARD ANDREAS et al. Application No.: 08/883,246 Page 2

The last address known with certainty for Mr. Gore is that shown on the original Declaration in this application. It is known to the Assignee that Mr. Gore had moved from this address, and that he had likely moved to the state of Florida. Mr. Hulseberg made a diligent effort to obtain an address for Mr. Gore in Florida, one possible address in Orlando was obtained, the materials necessary for Mr. Gore to review and sign the Supplemental Declarations were sent to this address in Florida. No response has been received.

In view of the above circumstances, it is respectfully requested that the accompanying Declaration be accepted in support of the above-referenced patent application.

Respectfully submitted,

Reg. No. 416, 257

PA 3146155 v1

In re application of:

Examiner: JACKSON, G.

BERNARD ANDREAS et al.

Art Unit:

3731

Application No.: 08/883,246

DECLARATION OF DANIEL J.

Filed: June 26, 1997

HULSEBERG

DEVICE AND METHOD FOR

SUTURING TISSUE

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

For:

- I, Daniel J. Hulseberg, hereby declare as follows:
- 1) I am a patent attorney at Abbott Laboratories, parent company of PerClose, Inc., assignee of the above-referenced patent application.
- The Assignee has made efforts to obtain a Supplemental Declaration to support the presently pending claims in the above-referenced patent application. As part of their efforts, I was asked to obtain the signature of Brian Gore on the Supplemental Declaration. Mr. Gore is one of the originally named inventors on this application.
- I have made the following efforts to reach Mr. Gore. I learned from 3) persons still at the Assignee that Mr. Gore had moved to Florida. Working with my assistant, Erica J. Frolich, we determined that a possible address for Mr. Gore was 6555 Whirlaway Circle, Orlando, Florida. A memorandum to me from Ms. Frolich outlining the nature of her search is attached hereto as Exhibit A.
- Based on this address, we sent a letter to Mr. Gore including copies of the 4) . Supplemental Declaration, with the patent application, and the amended claims. A copy of the cover letter which was sent to Mr. Gore is attached hereto as Exhibit B. The end of the Exhibit B, copy of the Federal Express air bill is attached.
- The package was delivered to Mr. Gore on April 3, 2001, as evidence by 5) the Federal Express Track Shipments Summary Results attached as Exhibit C.

Page 2

6) To date we have not received any response to our Federal Express delivery.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

Reg. No.

36,557

PA 3146183 v1

EXHIBIT A



FROM: Erica J. Frolich Dept.: D-377, Bldg. AP6D Ext.: 5-4249 Fax: 8-2823

Date: April 26, 2001

To: Dan Hulseberg

Re: Brian G. Gore Search

Application No.: 08/883,246 Abbott Ref. No.: 6766.US.O1

The websites listed below were used to perform a search for Brian G. Gore's current address and phone number. Attached to this memo, please find a copy of the website search results.

<u>Website</u>	Result
USSearch.com (Instant People Search) http://www2.1800ussearch.com	Brian G. Gore's last four reported addresses found, the most recent address being 6555 Whirlaway Circle, Orlando, FL (reported in 2000).
yahoo.com (People Finder) http://people.yahoo.com	No phone or e-mail listing for Brian G. Gore found.
SuperPages.com (White Pages) http://wp.superpages.com/people.phtml	No phone or e-mail listing for Brian G. Gore found.
MSN.com (White Pages) http://www.infospace.com/info.msn	No phone or e-mail listing for Brian G. Gore found.

A call to the Ameritech operator (411) requesting the phone number for Brian Gore in Orlando, FL confirmed that the phone number is unlisted.



Print Results Close Window

Print or Save Results. Instant Search Results are NOT E-mailed.

Search	Results	- 4	Records	Found
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S.NC	Name		Street Address	City	State	Zip	Year Reported
1	GORE BRIAN G	M	11601 NW 18TH ST	HOLLYWOOD	FL	33026	
2	GORE BRIAN G	M	11931 PASTEUR DR	ORLANDO	FL	32826	
3	GORE BRIAN GUILFORD		1228 ANASTASIA AVE	MIAMI	FL	33134	1998
4	GORE BRIAN GUILFORD	M	6555 WHIRLAWAY CIR	ORLANDO	FL	32818	2000

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MSN Home Hotmail Web Search Shopping Money People & Chat





White Pages Email Listings

bySparce

White Pages Home Reverse Number Lookup Email Search Yellow Pages

Sorry, no matches were found.

- Add your email address to the directory.
- , Search for public records on US SEARCH.com
- Find old High School friends

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Refine Your Search

Last Name: Gore

City: Optional

Country: US
View List

First Name: Brian
State/Prov... FL
View List







MAY 13TH

SHOPFOR MON







Other Searches

eShopping
Health
Classifieds
Movie Listings
International
Fun Stuff
Government

Protected by One or More of the Following Patents: U.S. Patent No. 6,016,504. Other Patents Pending.

White Pages Home

Reverse Number Lookup
Other Links:

Email Search Yellow Pages Special Features:



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and Canada).	_
Including Surrounding Region (U.S. Only)	• Stock Quotes
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- FREE my bookmarks!
- Address Book
- Phone & Address Search
- Email Search

Country United States (required)

Note: To search a country not listed above, see our list of

World Directories.

More People: Search the Public Information Portal on US SEARCH

Looking for Old Friends? Try ClassMates.com!
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First Name Brian

Last Name Gore

Search:

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People Locate Background Search

No matches

Sorry, no people match the phone search criteria you entered. We recommend you search again.

- Check for correct spelling in all search fields including City and State abbreviation.
- Broaden your search by using fewer search fields.
- Use the wildcard character ("*"). For example typing "D*" as your first name search criteria will return people whose first names begin with "D".

It is also possible that the person you are looking for does not have a phone listing in Yahoo! People Search.

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Search 1000's of Public Databases with one click! Find Addresses, Property, Licenses, Court Records and much, much more					
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Name (click for details)	Address	Phone (click to call)		· · ·
Brian Gore	404 Nw 4th Ave Chiefland, FL	(352)493-2419	Want more informati Get a "US Search"	on?
Brian Elise Gore	1147 Laguna Ln Gulf Breeze, FL	(850)916-9540	Want more informati Get a "US Search"	ion?
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- Specify the name more generally. For example, omit the first name, or uncheck "Exact matches only," if it was checked.
- Try using the wild card character, *. For example, "r*d" will match. "Reed," "Reid," and "Read" (among others).
- Widen your search area. For example, check "Include nearby areas" when searching in a City, or try a Nationwide search (leave City empty).

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Related Sites:

EXHIBIT B

847-938-2623

Telephone: 847-938-3888



Patent and Trademark Department D-377/AP6D Abbott Laboratories 100 Abbott Park Road Abbott Park, Illinois 60064-6050 USA

April 2, 2001

VIA FEDERAL EXPRESS

Brian G. Gore 6555 Whirlaway Circle Orlando, FL 32818 CONFIDENTIAL
ATTORNEY COMMUNICATION

Fax:

Re:

DEVICE AND METHOD FOR SUTURING TISSUE

Abbott Ref. No. 6776.US.C1; TTC Ref. No.: 15508-000150

Dear Mr. Gore:

Perclose, Inc., as a wholly-owned subsidiary of Abbott Laboratories, is continuing prosecution of certain aspects of a previously-filed patent application that names you as a joint inventor, along with Bernard Andreas, Michael Barrett, Mark Foley, Lewis Isbell, Ronald Songer and James Vetter. Particularly, a Continued Prosecution Application ("CPA") of the earlier patent application, which is referenced in the header above, has been filed with the U.S. Patent and Trademark Office. In order to claim priority to the filing date of the earliest related application that has been filed, however, it is now necessary to include Enrique Klein, T. Daniel Gross and Tomoaki Hinohara as named inventors. A new Supplemental Declaration, which includes all named inventors, therefore is required.

For your convenience and recollection, we have enclosed a copy of the previously-filed patent application. We also have enclosed two Supplemental Declaration forms to be signed by you. Please sign and date the enclosed documents in pen where indicated next to your name. Once signed, please return these documents to me using the enclosed Federal Express envelope. To ensure timely filing of this matter in the U.S. Patent and Trademark Office, please return the signed document no later than April 13, 2001.

In advance, thank you for your continued assistance with this matter. If you have any questions, please feel free to call me at my direct number, 847-938-3888.

With best regards,

ABBOTT LABORATORIES

Daniel J. Hulseberg Patent Counsel

Enclosures

cc: James Heslin, Esq.

Attorney Docket No.: 15508-000150

SUPPLEMENTAL DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole
inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject
matter which is claimed and for which a patent is sought on the invention entitled: DEVICE AND METHOD FOR SUTURING
TISSUE the specification of which is attached hereto or X was filed on June 26, 1997 as Application No. 08/883,246 and was
amended on (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status	
07/989,611	12/10/1992	Issued as 5,417,699	
08/252,124	06/01/1994	Issued as 5,613,974	
08/259,410	06/14/1994	Issued as 5,779,719	
08/824,031	03/26, 1997	Allowed 6, 034,699	

Full Name of Inventor 1:	Last Name: ANDREAS	First Name: BERNARD	Middle Name or Ir	nitial:
Residence & Citizenship:	City: Redwood City	State/Foreign Country: California	Country of Citizen United States	
Post Office Address:	Post Office Address: 33168 Lake Superior Place	City: Redwood City	State/Country: California	Postal Code: 94063

Full Name of	Last Name:	First Name:	Middle Name or Initial:
Inventor 2:	BARRETT	MICHAEL	
Residence &	City:	State/Foreign Country:	Country of Citizenship:
Citizenship:	! Campbell	California	United States
Post Office	Post Office Address:	City:	State/Country: Postal Code:
Address:	677 Kenneth Avenue	Campbell	California 95008
Full Name of	Last Name:	First Name:	Middle Name or Initial:
Inventor 3:	FOLEY	MARK	J.
Residence &	City:	State/Foreign Country:	Country of Citizenship:
Citizenship:	Menio Park	California	United States
Post Office	Post Office Address:	City:	State/Country: Postal Code:
Address:	1151 Hobart Street	Menlo Park	California 94304
Full Name of	Last Name:	First Name:	Middle Name or Initial:
Inventor 4:	GORE	BRIAN	
Residence &	City:	State/Foreign Country:	Country of Citizenship:
Citizenship:	Oriando	Florida	United States
Post Office	Post Office Address:	City:	State/Country: Postal Code:
Address:	6555 Whirlaway Circle	Orlando	Florida 32818
Full Name of	Last Name:	First Name:	Middle Name or Initial:
Inventor 5:	JSBELL	LEWIS	·
Residence &	City:	State/Foreign Country:	Country of Citizenship:
Citizenship:	Union City	California	United States
Post Office	Post Office Address:	City:	State/Country: Postal Code:
Address:	34800 Hollyhock Street	Union City	California 94587
Full Name of	Last Name:	First Name:	Middle Name or Initial:
Inventor 6:	SONGER	RONALD	W.
Residence &	City:	State/Foreign Country:	Country of Citizenship:
Citizenship:	San Jose	California	United States
Post Office	Post Office Address:	City:	State/Country: Postal Code:
Address:	3536 Pleasant Crest Drive	San Jose	California 95148
Full Name of	Last Name:	First Name:	Middle Name or Initial:
Inventor 7:	VETTER	JAMES	W.
Residence &	City:	State/Foreign Country:	Country of Citizenship:
Citizenship:	Portola Valley	California	United States
Post Office	Post Office Address:	City:	State/Country: Postal Code: California 94028
Address:	275 Willowbrook	Portola Valley	
Full Name of	Last Name:	First Name:	Middle Name or Initial:
Inventor 8:	KLEIN	ENRIQUE	J.
Residence &	City:	State/Foreign Country: California	Country of Citizenship: United States
Citizenship:	Los Altos		State/Country: Postal Code:
Post Office	Post Office Address: 1686 Christina Drive	City: Los Altos	California 94024
Address:		First Name:	Middle Name or Initial:
Full Name of	Last Name: GROSS	T. DANIEL	lating it arise of tistar.
Inventor 9:			Country of Citizenship:
Residence &	City: Los Gatos	State/Foreign Country: California	United States
Citizenship:			State/Country: Postal Code:
Post Office	Post Office Address:	City: Los Gatos	California 95032
Address:	200 Kimble Avenue		Middle Name or Initial:
Full Name of	Last Name:	First Name:	(Milde Name or midal.
Inventor 2:	HINOHARA	TOMOAKI	Course of Citizenship:
Residence &	City:	State/Foreign Country:	Country of Citizenship: Japan
Citizenship:	Portola Valley	California	
Post Office	Post Office Address:	City: Portola Valley	State/Country: Postal Code: 94028
Address:	40 Los Charros	Fortoia vaney	Camornia 7.020

Attorney Docket No.: 15508-00015

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belie are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like s made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
BERNARD H. ANDREAS	MICHAEL BARRETT	MARK J. FOLEY
Date	Date	Date
Signature of Inventor 4	Signature of Inventor 5	Signature of Inventor 6
		-
BRIAN GORE '	LEWIS ISBELL	RONALD W. SONGER
Date	Date	Date
Signature of Inventor 7	Signature of Inventor 8	Signature of Inventor 9
	,	
JAMES W. VETTER	ENRIQUE J. KLEIN	T. DANIEL GROSS
Date	Date	Date
Signature of Inventor 10		
	!	
	<u> </u>	
TOMOAKI HINOHARA		
Date		

PA 3037970 v1

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EXHIBIT C



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Status Delivered

Click on the tracking number for detailed tracking information.

Destination

Date/Time

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04/03/2001 13:38

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Tracking Number

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